

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

09/378,577

Filing Date

August 20, 1999

First Named Inventor

Wenyuan Shi

Group Art Unit

1645

Examiner Name

R. ZEMAN

Attorney Docket Number

2101363-991000

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)  
Response to Missing Parts/ Incomplete  
Application☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition to Convert to a Provisional  
Application☐ Power of Attorney, Revocation Change  
of Correspondence Address (2)☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication  
to Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

1. Request for Refund  
2. Copy of Decision on Petition  
mailed on 2-4-02  
3. return postcard

Remarks

☒ Customer Number or Bar Code Label

29585

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Respectfully submitted,

GRAY CARY WARE &amp; FREIDENRICH LLP

Dated: February 27, 2002

By:

Nan Wu  
Reg. No. 43,360  
Attorneys for Applicant(s)  
139 Townsend Street, Suite 400  
San Francisco, CA 94107-1922  
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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: Feb. 27, 2002

Typed or printed name Ta-Tanisha L. Moore

Signature

Ta. Moore

Date

February 27, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Attn.: Office of Finance, Refund Section, Assistant Commissioner for Patents, Washington, D.C. 20231, on Feb. 27, 2002.

Ta. Moore

Ta-Tanisha L. Moore

#24 Reg for  
Refund  
04-02-02



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Wenyuan Shi, et al.

Serial No: 09/378,577

Group Art Unit: 1645

Filed: August 20, 1999

Examiner: R. ZEMAN

Title: METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES

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**REQUEST FOR REFUND**

Attn.: Office of Finance  
Refund Section  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants have received a Decision on Petition Under 37 CFR 1.78(a)(6) in response to a petition for amending the priority claim of the above-identified U.S. patent application. The Decision deems the petition moot and states that "[p]etitioners are entitled to a refund of the instant petition fee." A copy of the Decision is attached for your convenience.

Accordingly, we request a refund of the petition fee minus the \$110.00 fee owed by the applicants for the Request for Continued Examination, i.e., a refund in the amount of \$1,170.00.

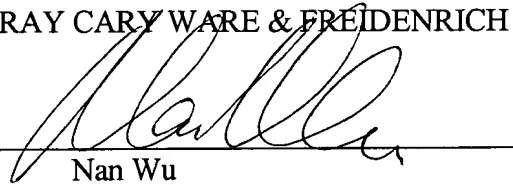
If there are any questions, please call Applicants' attorney at (415) 836-2531.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: February 27, 2002

By

A handwritten signature in dark ink, appearing to read 'Nan Wu', is written over a horizontal line.

Nan Wu  
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Attorney for Applicant

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